



FORM PT. OFFICE 1449		PATENT NO. 10/500,885	CASE NO. 9683/197
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		FILING DATE July 7, 2004	GROUP ART UNIT 2137
(use several sheets if necessary)	APPLICANT(S): Kazuhiro Yamada et al.		CONFIRMATION NO. 8676

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	5,673,315	09/30/1997	Wolf		
	C2	5,708,709	01/13/1998	Rose		
	C3	6,269,421 B1	07/31/2001	Nishimura		
	C4	6,971,016 B1	11/29/2005	Barnett		
	C5	6,976,165 B1	12/13/2005	Carpentier et al.		
	C6	2002/0073072 A1	06/13/2002	Fukumoto		
	C7	2003/0061487 A1	03/27/2003	Angelo et al.		
	C8	2005/0005099 A1	01/06/2005	Naruse et al.		
	C9	2005/0160045 A1	07/21/2005	Watanabe et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	C10	EP 0 813 132 A2	12/17/1997	EPO		
	C11	EP 1 033 652 A2	09/06/2000	EPO		
	C12	EP 1 289 326 A1	03/05/2003	EPO		
	C13	EP 1 326 396 A2	07/09/2003	EPO		
	C14	JP 10-83310 A	03/31/1998	JPO		Translation
	C15	JP 10-91427 A	04/10/1998	JPO		Translation
	C16	JP 11-205767 A	07/30/1999	JPO		US 6,269,421
	C17	JP 2001-216043 A	08/10/2001	JPO		Translation

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	C18 Edited by ASCII Shoseki Henshubu "i-Mode Java Programming – Stand Alone Application Part revised new edition" ASCII Coup., May 21, 2001, total 17 pages (with partial translation)
	C19 Li, G. "Java Series Java2 Platform Security", <i>Kabushiki Kaisha Pearson Education</i> , First Edition, November 30, 2000, total 14 pages (with partial translation)
	C20 Computer Today, No.87, September 1, 1998, total 9 pages (with partial translation)
	C21 Translation of International Preliminary Examination Report issued October 6, 2003 in International Application number PCT/JP03/03974
	C22 Megler, V., "i-mode From bandwidth problem into Internet phenomenon", <i>Developerworks</i> , February 2002, XP002329582, 13 Pages
	C23 Sun Microsystems, Inc., "Default Policy Implementation and Policy File Syntax", <i>Java Sun</i> , October 30, 1998, XP002329583, 11 Pages
	C24 NTT DoCoMo, "i-mode Java Content Developer's Guide –Functional Descriptions-", Release 1.1, May 14, 2000 total 12 pages

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 10/500,885	CASE NO. 9683/197
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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS	
	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	C25	Jess Garms, "Professional Java Security", Publishing House of Electronics Industry, Jan. 31, 2002 (with translation) total 35 pages
	C26	Li Gong, "Java2 Platform Security", Japan, Pearson Education, Inc., November 30, 2000, 1 <sup>st</sup> edition, pp 41-44 and 123-138 (ISBN: 4-89471-193-1) (with conscious explanation)
	C27	ASCII Book Editorial Department, "i mode Java programming – Standalone Applications: Newly-Revised Edition", Japan, ASCII Corporation, May 21, 2001, 1 <sup>st</sup> Edition, pp 19, 20 and 40-52 (ISBN: 4-7561-3790-3) (with conscious explanation)
	C28	Schneier, "Applied Cryptography, Protocols, Algorithms and Source Code in C", 2 <sup>nd</sup> edition, 1996 ISBN: 0471128457, p38
	C29	Stalling, "Cryptography and network security", 2nd edition, 1998, ISBN: 0138690170 in view of Angelo (USPUB 2003/0061487), pp 243-254
	C30	Feghhi, et al., "Digital Certificates Applied Internet Security", 1999, ISBN: 0201309807, pp 61-89
	C31	Chinese Office Action dated May 12, 2006 in Chinese patent application number 03807636.5 (with translation)
	C32	Chinese Office Action dated December 1, 2006 in Chinese patent application number 03807636.5 (with translation)
	C33	Japanese Office Action issued March 13, 2007 in Japanese Patent Application number 2003-096088 (with translation)
	C34	Japanese Office Action issued October 16, 2007 in Japanese Patent Application No. 2003-581004 (with translation)
	C35	Japanese Office Action issued July 22, 2008 in Japanese Patent Application number 2002-001843 (with translation)

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